



POCKET NO.: MSFT-0584/167511.2

PATENT

2152
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Application of:

Steven E. Swenson et al.

Serial No.: 09/904,465

Group Art Unit: 2152

Filing Date: July 13, 2001

Examiner: Not Yet Assigned

For: SYSTEM AND METHODS FOR AUTOMATIC DSP PROCESSING

DATE OF DEPOSIT: November 16 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

George J. Awad
TYPED NAME: George J. Awad
REGISTRATION NO: 46,528

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- ☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
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- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
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EXCEPT THAT:

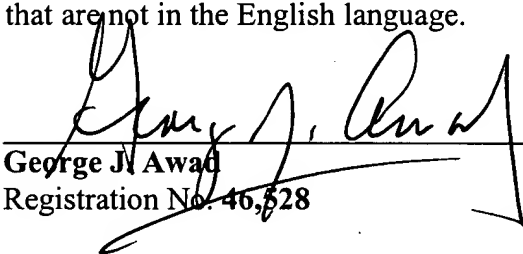
- ☐ In view of the voluminous nature of references **[list as appropriate]**, and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.


There are no listed references that are not in the English language.


Date: November 14, 2001


George J. Awad
Registration No. 46,528

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

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Form PTO-1449 Modified				Docket No. MSFT-0584/167511.2	Serial No. 09/904,465
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Steven E. Swenson et al.		RECEIVED DEC 11 2001 Technology Center 2100	
U.S. Department of Commerce Patent and Trademark Office		Filing Date July 13, 2001		Group 2152	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
	AA	✓ Appelbaum, M. et al., "Agile – A CAD/ CAM/ CAE Interface Language," <i>Society of Manufacturing Engineers: Technical Paper</i> , 1984, MS84-182, 1-19			
	AB	✓ Bendix, L. et al., "CoEd – A Tool for Versioning of Hierarchical Documents," B. Magnusson (Ed.), <i>System Configuration Management, Proc. ECOOP'98 SCM-8 Symposium</i> , Brussels, Belgium, July 20-21, 1998			
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	AF	Bresin, R. et al., "Synthesis and decoding of emotionally expressive music performance," <i>IEEE SMC'99 Conference Proceedings. 1999 IEEE Int'l Conf. On Systems, Man, and Cybernetics</i> , 1999, Vol. 4, 317-322			
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	AL	Conradi, R. "Version Models for Software Configuration Management," <i>ACM Computing Surveys</i> , June 1998 , 30(2), 232-282
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	BK	Oussalah, C. et al., "Complex Object Versioning," <i>Advanced Information Systems Engineering - Proc. 9th Int'l. Conference, CaiSE'97</i> , June 16-20, 1997 , Catalonia Spain, 259-272
	BL	Pesavento, M. et al., "Unitary Root-MUSIC with a Real-Valued Eigendecomposition: A Theoretical and Experimental Performance Study," <i>IEEE Transactions on Signal Processing</i> , May 2000 , 48(5), 1306-1314
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